



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3387

<b>SERIAL NUMBER</b> 09/919,385	<b>FILING OR 371(c) DATE</b> 07/31/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3695	<b>ATTORNEY DOCKET NO.</b> 106109.62446US
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**

Ronald F. Woestemeyer, Houston, TX;  
 Matthew Johnson, Houston, TX;  
 Theodore V. Valkov, Bellaire, TX;  
 Marc Paige, Houston, TX;  
 Mikael O. Weigelt, Kingwood, TX;  
 Nicola Secomandi, Houston, TX;

**\*\* CONTINUING DATA \*\*\*\*\***

This appln claims benefit of 60/222,534 08/02/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED\*\* SMALL ENTITY \*\***

\*\* 09/07/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 36	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

23911

**TITLE**

SYSTEM AND METHOD FOR ANALYZING TRANSACTIONS AT AN ELECTRONIC EXCHANGE

**FILING FEE  
RECEIVED**  
704

FEES: Authority has been given in Paper  
 No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT  
 No. \_\_\_\_\_ for following:

<input type="checkbox"/> All Fees
<input type="checkbox"/> 1.16 Fees ( Filing )
<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
<input type="checkbox"/> 1.18 Fees ( Issue )
<input type="checkbox"/> Other _____
<input type="checkbox"/> Credit

439  
10/28/10